

December 3, 2003

U.S. Patent and Trademark Office
2011 South Clark Place
Customer Window
Crystal Plaza Two, Lobby, Room 1B03
Arlington, Virginia 22202

Re: New Nonprovisional Division Patent Application under 37 CFR
§1.53(b) in U.S.
Applicant(s): THIEL, et al.
Title: RECOMBINANT HUMAN MANNAN-BINDING LECTIN
Atty's Docket: THIEL=2A

Sir:

1.

[X] The present nonprovisional application is a ☐ Continuation
☒ Divisional ☐ Continuation-in-part of prior application
No. 09/568,143. **This application is not a CPA.** The prior
application is not being abandoned in favor of this
application.

[X] Incorporation By Reference. The entire disclosure of the prior
application, from which a copy of the oath or declaration is
supplied herewith, is considered as being part of the
disclosure of the accompanying application and is hereby
incorporated by reference therein.
2. Attached herewith is the above-identified nonprovisional application
under 37 CFR §1.53(b) for Letters Patent including:
 - [X] Specification (48 pages): description (44 pages), claims (3
pages) and abstract (1 page). The ☒ specification ☐ claims
and ☒ abstract are copies of those filed in prior application
no. 09/568,143.
 - [X] 5 Sheets Drawings (Figures 1-5).
 - [X] Formal ☐ Informal
 - [X] Figs. 1-5 of the drawings are copies of the substitute
figures filed in prior application no. 09/568,143.
 - ☐ Figs. _____ of the drawings are new.
 - [X] Declaration and Power of Attorney (2 pages)
 - ☐ Newly executed ☒ Copy from prior application no. 09/568,143
 - [X] Preliminary Amendment
 - ☐ Supplemental Preliminary Amendment
 - ☐ Information Disclosure Statement with ☐ references
 - [X] PTO-1449 or PTO-892 forms from prior application(s)
 - [X] A verified statement to establish small entity status under 37
CFR §1.9 and 37 CFR §1.27
 - [X] A verified statement claiming small entity status was filed on
September 11, 2000 in prior application no. 09/568,143. Status
is still proper and desired.
 - [X] Sequence Listing (2 pages) ☐ and CRF.

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If no declaration is attached, or the basic filing fee is not paid, accord this application a filing date, and notify counsel pursuant to 37 CFR §1.53(f).

[X] Attached is Credit Card Payment Form, PTO-2038, authorizing payment in the amount of \$430.00 to cover:

[X] The filing fee calculated as follows:

CLAIMS AS FILED					
FOR	No. FILED	Base Number	No. EXTRA	RATE	BASIC FEE \$770.00
TOTAL CLAIMS	25	-20	5	x 18 =	\$ 90.00
INDEPENDENT	1	-3	0	x 86 =	\$
[] First presentation of multiply dependent claim			290	x 290 =	\$
Reduction by 1/2 for filing by small entity					-\$430.00
			TOTAL FILING FEE		\$430.00

[X] Return Receipt Postcard (in duplicate)

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3. The following inventor(s) were named in the prior application:

Full Name of Inventor 1 Steffen Thiel	
Residence (City/State or City/Country) Risskov, Denmark	Citizen Of: Denmark
Post Office Address Nordtoftevej 11, 8240 Risskov, Denmark	
Full Name of Inventor 2 Jens Christian Jensenius	
Residence (City/State or City/Country) Århus, Denmark	Citizen Of: Denmark
Post Office Address D. Phil., dr. med., Department of Medical Microbiology and Immunology University of Aarhus, 8000 Århus, Denmark	
Full Name of Inventor 3 Thomas Vorup Jensen	
Residence (City/State or City/Country) Århus, Denmark	Citizen Of: Denmark
Post Office Address Fåborggade 14, 2.tv, 8000 Århus C, Denmark	

Please note that the addresses of the inventors have been updated.

☒ [XX] The inventors of the present application are the same as those on the prior application.

☐ [] Please delete the following inventors who were named on the prior application, but are not inventors of the invention being claimed in the present application: _____

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[] The following additional inventors are to be named on the instant application: _____

4. [X] The benefit under 35 USC §119 is claimed of the filing date of: Application No. 1999 00668 in Denmark on May 14, 1999 and Application No. 1999 01508 in Denmark on October 20, 1999. A certified copy of said priority document was filed in progenitor case 09/568,143.

5. [X] Certain documents were previously cited or submitted to the patent and Trademark Office in the following prior application 09/568,143, which is relied upon under 35 USC §120. Applicants identify these documents by attaching hereto one or more form PTO-1449 and/or form PTO-892 listing these documents, and request that they be considered and made of record in accordance with 37 CFR §1.98(d). Per 37 CFR §1.98(d), copies of these documents need not be filed in this application.

While an IDS filed under §1.97 must contain a "list of all patents, publications or other information submitted for consideration by the Office", see §1.98(a)(1), the only requirement for the list is that it provide the information set forth in §1.98(b). There is no requirement that a form PTO-1449 be used (MPEP §609 merely says that use of this form is "encouraged") and no prohibition on submitting a copy of a form PTO-1449 or form PTO-892 from a prior case. Indeed, the re-use of such forms is desirable as it avoids error in transferring the information, and evidences that the reference was considered in a prior application. A previously accepted PTO-1449, or an examiner-prepared PTO892, necessarily complies with §1.98(b).

6. [X] Sequence Listing
Applicants hereby submit the following:
[XX] a paper copy of a "Sequence Listing", complying with

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- §1.821(c), to be incorporated into the specification
- [] the Sequence Listing in computer readable form, complying with §1.821(e) and §1.824,
- [XX] The computer readable form in this application is identical with that filed in application number 09/568,143 filed May 10, 2000. In accordance with 37 CFR 1.821(e), please use the computer readable form filed in that case on or about August 31, 2001 as the computer readable form for the instant application. It is understood that the Patent and Trademark Office will make the necessary change in "General Information", including changes in the application number and filing date, for the computer readable form that will be used for the instant application.

The undersigned attorney or agent hereby states as follows:

- (a) this submission does not include new matter [§1.821(g)]; and
- (b) the contents of the paper copy and the computer readable form of the Sequence Listing, are the same [§1.821(f) and §1.825(b)].

- [] The description has been amended to comply with §1.821(d).
- [] This application includes sequences first set forth in a prior U.S. benefit application filed before the effective date (October 1, 1990) of the sequence listing rules, and hence which need not be included in the sequence listing.
- [] This application sets forth only sequences first set forth in a prior U.S. benefit application, filed prior to the effective date (July 1, 1998) of the revised sequence listing rules, and hence need only comply with the original rules.

Under the revised sequence listing rules, each sequence must be classified in <213> as an "Artificial Sequence", a sequence of "Unknown" origin, or a sequence originating in a particular organism, identified by its scientific name. Neither the rules nor the MPEP clarify the nature of the relationship which must exist between a

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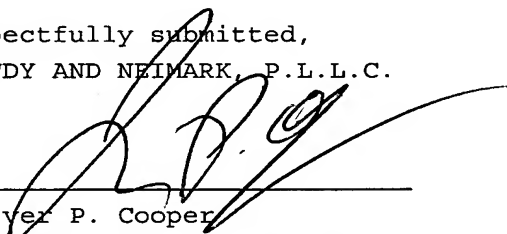
listed sequence and an organism for that organism to be identified as the origin of the sequence under <213>. The identification of an organism in <213> should not be construed as an admission that the sequence *per se* occurs in nature in said organism. Similarly, designation of a sequence as "artificial" should not be construed as a representation that the sequence has no association with any organism. The Examiner should be able to judge the relationship of the enumerated sequences to natural sequences by giving full consideration to the specification, the art cited therein, any further art cited in an IDS, and the results of his or her sequence search against a database containing known natural sequences.

7. If reliance is made on a declaration filed in a prior application, then
- ☒ [X] The undersigned attorney of record hereby revokes the power of attorney previously granted to any attorney who is not associated with Customer Number 001444.
 - ☒ [X] The undersigned attorney of record hereby grants associate power of attorney to all attorneys associated with Customer Number 001444, to prosecute this application and transact all business in the Patent and Trademark Office.
9. ☒ [X] The Commissioner is hereby authorized to charge payment of the following additional fees associated with this communication or credit any overpayments to Deposit Account No. 02-4035:
- ☒ [X] Any additional filing fees required under 37 CFR §1.16.
 - ☒ [X] Any patent application processing fees under 37 CFR §1.17.
- ☒ [X] The Commissioner is hereby authorized to charge payment of the following fees, based on any paper filed during the pendency of this application or any CPA thereof, to effect any amendment, petition, or other action requested in said paper or credit any overpayments to Deposit Account No. 02-4035:
- ☒ [X] Any patent application processing fees under 37 CFR §1.17.
 - ☐ [] The issue fee set in 37 CFR §1.18 at or before mailing the Notice of Allowance, pursuant to 37 CFR §1.311(b).

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- [X] Any filing fees under 37 CFR §1.16 for presentation of extra claims.
- [X] If a paper is untimely filed in this or any CPA thereof by Applicant(s), the Commissioner is hereby petitioned under 37 CFR §1.136(a) for the minimum extension of time required to make said paper timely. In the event a petition for extension of time is made under the provisions of this paragraph, the Commissioner is hereby requested to charge any fee required under 37 CFR §1.17 to Deposit Account 02-4035.
- [X] The Commissioner is hereby authorized to credit any overpayment of fees accompanying this paper to Deposit Account No. 02-4035.

Respectfully submitted,
BROWDY AND NEIMARK, P.L.L.C.

By: 
Iver P. Cooper
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